

Emotion recognition in children with autism

A collection of papers
extracted from systematic literature review
under Erasmus+ EMBOA project

#T01

| | | | | |
|-----------------------------------|---|-------------|-----------------|-----|
| Title | 3D Human Sensing, Action and Emotion Recognition in Robot Assisted Therapy of Children with Autism | | | |
| Authors and full reference | Marinoiu, E; Zanfir, M; Olaru, V; Sminchisescu, C., 31st IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), JUN 18-23, 2018, p 2158 - 2167 | | | |
| DOI | 10.1109/CVPR.2018.00230 | Year | 2018 | |
| Children | with autism: | 7 | without autism: | n/a |
| Emotions covered | Valence, Arousal | | | |
| Recognition techniques | Kinect camera | | | |
| Value brought | Skilled therapists annotated recording from multiple therapy sessions; automatic action recognition where done considering the the RGB + depth modalities recorded using a Kinect camera. | | | |



#T04

| | | | |
|-----------------------------------|---|-------------|-------------------|
| Title | A Computer Vision based Approach for Understanding Emotional Involvements in Children with Autism Spectrum Disorders | | |
| Authors and full reference | Del Coco, M; Leo, M; Carcagni, P; Spagnolo, P; Mazzeo, PL; Bernava, M; Marino, F; Pioggia, G; Distante, C; 16th IEEE International Conference on Computer Vision (ICCV), OCT 22-29, 2017, p1401 - 1407 | | |
| DOI | 10.1109/ICCVW.2017.166 | Year | 2017 |
| Children | with autism: | 5 | without autism: 5 |
| Emotions covered | Joy (Happiness), Fear, Sadness | | |
| Recognition techniques | Single-camera system for facial expression analysis. Computer Vision module is made up by four main components aiming at face detection, facial landmark detection, multi-face tracking and Facial Action Unit extraction. | | |
| Value brought | The main aim of the paper was to demonstrate if computer vision based approaches for facial feature analysis could help to understand emotional behaviors in children with the interesting perspective of introducing a computational approach for diagnosis and assessment of autism spectrum disorders. | | |

#T11

| | | | |
|-----------------------------------|---|-------------|-------------------|
| Title | Adaptive Framework for Emotional Engagement in Child-Robot Interactions for Autism Interventions | | |
| Authors and full reference | Javed, H; Jeon, M; Park, CH., 2018 15TH INTERNATIONAL CONFERENCE ON UBIQUITOUS ROBOTS (UR) | | |
| DOI | 10.1109/URAI.2018.8441775 | Year | 2018 |
| Children | with autism: | 3 | without autism: 3 |
| Emotions covered | joy, anger, disgust, surprise, fear, sadness, and contempt. | | |
| Recognition techniques | A Kinect-based motion tracking module; A facial expression recognition system that determines emotion from live video data; A vocal analysis module that detects changes in vocal cues from the child. | | |
| Value brought | A framework was developed to determine a child's emotional state at any given point during an interaction with the goal of facilitating the delivery of robot assisted tailored therapy interventions that can evoke a higher level of engagement from children with ASD. | | |

#T12

| | | | |
|-----------------------------------|--|-------------|---------------------|
| Title | Affect recognition in robot assisted rehabilitation of children with autism spectrum disorder | | |
| Authors and full reference | Liu, CC; Conn, K; Sarkar, N; Stone, W., IEEE International Conference on Robotics and Automation ICRA, 2007, p 1755 - 1760 | | |
| DOI | 10.1109/ROBOT.2007.363576 | Year | 2007 |
| Children | with autism: | 3 | without autism: n/a |
| Emotions covered | Anxiety, Liking (enjoyment), Engagement | | |
| Recognition techniques | <ul style="list-style-type: none">- Wearable sensors for physiological signals measurement used to examine various features of cardiovascular activity, electrodermal activity and electromyogram (EMG) activity.- A Support Vector Machines (SVM) based affect recognizer module was developed. | | |
| Value brought | 'affect recognition' module is developed to elicit the affective states of liking, anxiety, and Engagement. Affective cues are inferred from psychophysiological analysis that uses subjective reports of the affective states from care givers, and a support vector machines based affect recognizer is designed that yielded reliable prediction with approximately 83% success when using the therapist's reports. | | |

#T17

| | | | | |
|-----------------------------------|---|-------------|-----------------|---|
| Title | Attention Assessment: Evaluation of Facial Expressions of Children with Autism Spectrum Disorder | | | |
| Authors and full reference | Banire, Bilikis, et al. "Attention Assessment: Evaluation of Facial Expressions of Children with Autism Spectrum Disorder." International Conference on Human-Computer Interaction. Springer, Cham, 2019. | | | |
| DOI | 10.1007/978-3-030-23563-5_4 | Year | 2019 | |
| Children | with autism: | 4 | without autism: | 4 |
| Emotions covered | No emotion recognized - facial expressions only | | | |
| Recognition techniques | Monomodal facial expression, SVM | | | |
| Value brought | Examined facial expressions during an attention tasks | | | |

#T19

| | | | |
|-----------------------------------|--|-----------------|------|
| Title | Automatic Emotion Recognition in Robot-Children Interaction for ASD Treatment | | |
| Authors and full reference | Leo, Marco, et al. "Automatic emotion recognition in robot-children interaction for ASD treatment." Proceedings of the IEEE International Conference on Computer Vision Workshops. 2015. | | |
| DOI | 10.1109/ICCVW.2015.76 | Year | 2015 |
| Children | with autism: 3 | without autism: | |
| Emotions covered | Joy, anger, fear, disgust, sadness, surprise and neutral | | |
| Recognition techniques | Facial Expression | | |
| Value brought | Focuses on facial emotion recognition. Only preliminary work done with 3 children with Asperger. | | |

#T24

| | | | |
|-----------------------------------|---|-------------|-----------------|
| Title | Design of Affective Robot-Assisted Activity for Children with Autism Spectrum Disorders | | |
| Authors and full reference | Hirokawa, Masakazu, et al. "Design of affective robot-assisted activity for children with autism spectrum disorders." The 23rd IEEE International Symposium on Robot and Human Interactive Communication. IEEE, 2014. | | |
| DOI | 10.1109/ROMAN.2014.6926280 | Year | 2014 |
| Children | with autism: | N/A | without autism: |
| Emotions covered | Positive valence (smile) | | |
| Recognition techniques | Facial EMG | | |
| Value brought | Utilises wireless EMG to track facial expression of autistic children; not focused on emotion recognition though (only smile recognition). Reports that 70% of children agreed to wear facial EMG device. | | |

#T26

| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | Emotion Recognition in Children and Adolescents with Autism Spectrum Disorders | | | |
| Authors and full reference | Kuusikko, S., Haapsamo, H., Jansson-Verkasalo, E. et al. Emotion Recognition in Children and Adolescents with Autism Spectrum Disorders. J Autism Dev Disord 39, 938–945 (2009). | | | |
| DOI | 10.1007/s10803-009-0700-0 | | Year | 2009 |
| Children | with autism: | 57 | without autism: | 33 |
| Emotions covered | Joy, anger, fear, disgust, sadness, surprise and neutral | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>The paper focuses on emotion recognition skills of children and adolescent with autism. However, it points out some interesting remarks:</p> <ul style="list-style-type: none">➤ children and adolescents with ASD seem to have more difficulties than typically developing children and adolescents in recognizing emotions from the upper part of the face;➤ the emotion recognition skills of individuals with ASD may to improve with age. <p>Authors suggest that the intervention should start at an early stage and focus on building a positive self-esteem in individuals with ASD.</p> <p>The paper gives rise to the question of whether children with ASD have a tendency to interpret emotions as negative rather than positive.</p> | | | |

#T27

| | | | | |
|-----------------------------------|---|-------------|-----------------|----|
| Title | Emotion Recognition in Children with Autism Spectrum Disorders: Relations to Eye Gaze and Autonomic State | | | |
| Authors and full reference | Bal, E., Harden, E., Lamb, D. et al. Emotion Recognition in Children with Autism Spectrum Disorders: Relations to Eye Gaze and Autonomic State. J Autism Dev Disord 40, 358–370 (2010). | | | |
| DOI | 10.1007/s10803-009-0884-3 | Year | 2010 | |
| Children | with autism: | 17 | without autism: | 36 |
| Emotions covered | Joy, anger, fear, disgust, sadness and surprise | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>Paper describes emotion recognition by children with autism. Nonetheless, it contains important observations concerning sensors and technologies that can be used in automatic emotion recognition:</p> <ul style="list-style-type: none">➤ children with ASD had significantly lower amplitude RSA and faster heart rate than typically developing children at baseline, suggesting lower overall vagal regulation of heart rate;➤ a large percentage of children with autism had abnormally high sympathetic activity, i.e. skin conductance response;➤ it is difficult to employ eye tracking technologies with lower functioning children, because the calibration and data collection processes require the child to sit still. <p>Authors found out that making more errors in recognizing anger was unrelated to looking at particular regions of the face.</p> <p>They also suggest that during designing an intervention it should be taken into account that children with</p> | | | |

#T28

| | | | |
|-----------------------------------|---|-------------|-------------------|
| Title | Emotion recognition system for autism children using non-verbal communication | | |
| Authors and full reference | Santhoshkumar, R. & Kalaiselvi Geetha, M. 2019, "Emotion recognition system for autism children using non-verbal communication", International Journal of Innovative Technology and Exploring Engineering, vol. 8, no. 8, pp. 159-165. | | |
| DOI | n.a. | Year | 2019 |
| Children | with autism: | 10 | without autism: 0 |
| Emotions covered | Joy, anger, fear, sadness and neutral | | |
| Recognition techniques | Recordings of children with ASD body movements are used. The angle, distance, velocity and acceleration are features calculated from head, L-hand, R-hand points. The extracted features are given to the input of the Random Forest and SVM classifiers. | | |
| Value brought | <p>Paper proposes emotion recognition in children with autism based on features that can be calculated from recorded body movements. These features are:</p> <ul style="list-style-type: none">› Distance of head, L-hand and R-hand with respect to body center› Angle between the head, L-hand and R-hand with respect to body center› Average velocity of head, L-hand and R-hand› Acceleration of head, L-hand and R-hand with respect to body center <p>Authors compared two classifiers, i.e. Random Forest and SVM.</p> | | |

#T35

| | | | | |
|-----------------------------------|---|-----------|-----------------|-----------|
| Title | Helping Neuro-typical Individuals to "Read" the Emotion of Children with Autism Spectrum Disorder: an Internet-of-Things Approach | | | |
| Authors and full reference | Tang, T.Y. 2016. Helping Neuro-typical Individuals to "Read" the Emotion of Children with Autism Spectrum Disorder: an Internet-of-Things Approach. In Proceedings of the The 15th International Conference on Interaction Design and Children (IDC '16). Association for Computing Machinery, New York, NY, USA, 666–671. | | | |
| DOI | 10.1145/2930674.2936009 | | Year | 2016 |
| Children | with autism: | Not given | without autism: | Not given |
| Emotions covered | Happiness and sadness | | | |
| Recognition techniques | HD Face SDK in Kinect 2.0 heart rate and perspiration (in future) | | | |
| Value brought | <p>Research presented in the paper is at very early stage. However, the paper highlights important challenges in emotion recognition in children with autism:</p> <ul style="list-style-type: none">- integration of multiple sensor data in order to generate meaningful emotional label- how or whether Emotion API can be applied for emotion recognition in children with autism- collecting a training set of data from children with ASD <p>The paper points out two interesting questions:</p> <ol style="list-style-type: none">1) How will the children's emotion be displayed?2) Does emotional affect vary with the severity of the disorder? How can this be accounted for and by the model? | | | |

#T41

| | | | | |
|-----------------------------------|---|-------------|-----------------|---|
| Title | Live Monitoring System for Recognizing Varied Emotions of Autistic Children | | | |
| Authors and full reference | Fadhil T.Z., Mandeel A.R. ICOASE 2018 - International Conference on Advanced Science and Engineering, 2018, IEEE, pp.151 - 155 | | | |
| DOI | 10.1109/ICOASE.2018.8548931 | Year | 2018 | |
| Children | with autism: | 7 | without autism: | 7 |
| Emotions covered | Sadness, happiness, nervous, hunger, relaxation, trapidation | | | |
| Recognition techniques | GSR | | | |
| Value brought | Research presented in the paper is at very early stage. GSR data were compared between children with autism and typically developing ones. Lessons learned: <ul style="list-style-type: none">- Pictures are not a good stimuli for evoking emotions in children with autism- Children with autism have more irregular patterns of skin conductance physiological signal | | | |

#T42

| | | | |
|-----------------------------------|---|-------------|--------------------|
| Title | Multimodal Emotion Perception in Children with Autism Spectrum Disorder by Eye Tracking Study | | |
| Authors and full reference | Su, Q; Chen, F; Li, HF; Yan, N; Wang, L.:Multimodal Emotion Perception in Children with Autism Spectrum Disorder by Eye Tracking Study, 2018 IEEE-EMBS CONFERENCE ON BIOMEDICAL ENGINEERING AND SCIENCES (IECBES), 382 - 387 | | |
| DOI | 10.1109/IECBES.2018.8626642 | Year | 2018 |
| Children | with autism: | 10 | without autism: 19 |
| Emotions covered | valence | | |
| Recognition techniques | eye gaze patterns | | |
| Value brought | <p>Reaction patterns of eye gaze was analysed as an reaction to a stimuli of videos containing human faces. Main findings:</p> <ul style="list-style-type: none">- There are differences between ASD and TD conditions in fixation duration time regarding different areas of interest (eyes, mouth)- There are differences in reactions to positive and negative emotions presented in stimuli- Live videos of natural, real human are good stimuli for tracking reaction patterns in children with ASD- Familiarisation stage (to measurements conditions and procedures) is recommended for children on autism spectrum | | |

#T45

| | | | |
|-----------------------------------|--|-------------|-------------------|
| Title | Online affect detection and robot behavior adaptation for intervention of children with autism Multimodal Emotion Perception in Children with Autism Spectrum Disorder by Eye Tracking Study | | |
| Authors and full reference | Liu, CC; Conn, K; Sarkar, N; Stone, W, Online affect detection and robot behavior adaptation for intervention of children with autism, IEEE TRANSACTIONS ON ROBOTICS, Volume 24, Issue 4, 2008, 883 – 896, 1552-3098 | | |
| DOI | 10.1109/TRO.2008.2001362 | Year | 2008 |
| Children | with autism: | 6 | without autism: 0 |
| Emotions covered | Liking, anxiety, engage | | |
| Recognition techniques | Modalities: physiological signals (cardiovascular activity, electrodermal activity, electromyogram, temperature) Techniques: SVM, ANOVA, correlations | | |
| Value brought | <p>This is a complex and comprehensive study of human-robot interaction loop, especially focusing on child physiological response.</p> <p>Main findings:</p> <ul style="list-style-type: none">- Automatic emotion recognition based on physiological signals and SVM classifier was used along with self-report and tagging by a professional- Self-report in children with autism was only partly consistent with tagging by therapists- There were differences in physiological signals between different (easy/difficult) robot behaviour patterns- Therapists's reports were taken as a „ground truth” for classification- Classification provided 82% accuracy <p>Recommendations and challenges:</p> <ul style="list-style-type: none">- Before automatic emotion recognition is performed it's advisable to define a list of emotional states that would provide a value from the intervention perspective.- Children with autism deficits in communication skills make it hard to apply classic methods of tagging | | |

#T47

| | | | | |
|-----------------------------------|--|--|-----------------|------|
| Title | Physiological Detection of Affective States in Children with Autism Spectrum Disorder | | | |
| Authors and full reference | Sarah Sarabadani, Larissa C. Schudlo, Ali Akbar Samadani, and Azadeh Kushski, IEEE Transactions on Journal Affective Computing | | | |
| DOI | 10.1109/TAFFC.2018.2820049 | | Year | 2018 |
| Children | with autism: | 15 children (3 female) with ASD between 12 and 18 ages | without autism: | 0 |
| Emotions covered | valence (positive, negative) and arousal (high, low) | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <ul style="list-style-type: none">- Paper investigates detection of autonomic responses to positive and negative stimuli in children with ASD using four physiological measurements (electrocardiograms, respiration, skin conductance and temperature)- Affective states are induced by stimuli of positive and negative valence or high and low arousal which are then differentiated with accuracy 80% using ensemble of classifiers.- The results of the paper suggest the feasibility of discerning affective states in individuals with ASD objectively using physiological signals. | | | |

#T48

| | | | | |
|-----------------------------------|--|--|-----------------|------|
| Title | Physiology-based affect recognition for computer-assisted intervention of children with Autism Spectrum Disorder | | | |
| Authors and full reference | Changchun Liua, Karla Conna, Nilanjan Sarkar,Wendy Stone, International Journal of Human-Computer Studies, 66, 662–677 | | | |
| DOI | j.ijhsc.2008.04.003/j.ijhsc.2008.04.003 | | Year | 2008 |
| Children | with autism: | 6 children in the age range of 13–16 years | without autism: | 0 |
| Emotions covered | Liking, Anxiety Engage | | | |
| Recognition techniques | Support Vector Machine (SVM) | | | |
| Value brought | <ul style="list-style-type: none">- Paper addresses the problem of how to make the computer-based ASD intervention tools affect-sensitive by designing therapist-like affective models of the children with ASD based on their physiological responses.-Two computer-based cognitive tasks are designed to elicit the affective states of liking, anxiety, and engagement that are considered important in autism intervention.- A support vector machines (SVM)-based affective model yields reliable prediction with approximately 82.9% success when using the therapist’s reports. | | | |

#T49

| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | Recognition of emotions in autistic children using physiological signals | | | |
| Authors and full reference | Niranjana Krupa, Karthik Anantharam, Manoj Sanker, Sameer Datta, John Vijay Sagar, Health Technology, 6:137–147 | | | |
| DOI | 10.1007/s12553-016-0129-3 | | Year | 2016 |
| Children | with autism: | 30 | without autism: | 30 |
| Emotions covered | Neutral, Happy | | | |
| Recognition techniques | Support Vector Machine (SVM) | | | |
| Value brought | <ul style="list-style-type: none">- A wearable wristband is used in the paper to acquire physiological signals (galvanic skin response (GSR) and heart rate variability (HRV).- Support vector machine (SVM) classifier is used to predict emotional states such as neutral, happy and involvement of children with autism.- Features extracted from the recorded physiological signals are classified into different emotional states using SVM, which resulted in an overall accuracy of 90%. | | | |

#T51

| | | | | |
|-----------------------------------|---|---|-----------------|------|
| Title | Robot-Enhanced CBT for dysfunctional emotions in social situations for children with ASD | | | |
| Authors and full reference | Cristina A. Pop, Bram Vanderborght, Daniel David, Journal of Evidence-Based Psychotherapies, Vol. 17, No. 2, 119-132. | | | |
| DOI | 10.24193/jebp.2017.2.7 | | Year | 2017 |
| Children | with autism: | 27 children with a primary diagnosis of ASD, aged 6–12 years, were randomly assigned to either robot-enhanced therapy (RET (12 children) or treatment as usual group (15 children). | without autism: | 30 |
| Emotions covered | n.a. | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <ul style="list-style-type: none"> - Paper aims to improve the strategies used in different social situations; modify irrational beliefs (based on Albert Ellis' REBT/CBT model); teach them adaptive behaviors in social situations associated with anger and sadness and to reduce the intensity of negative emotions. -Children with ASD from robot- enhanced therapy group showed statistically significant more rational beliefs and they had a lower level of emotional responses intensity, after treatment compared with usual group. - The paper did not found any significant differences between the two groups regarding: social knowledge and adaptive behaviors. | | | |

#T55

| | | | | |
|-----------------------------------|---|-------------|-----------------|----|
| Title | Specific Patterns of Emotion Recognition from Faces in Children with ASD: Results of a Cross-Modal Matching Paradigm | | | |
| Authors and full reference | Ofer Golan, Ilanit Gordon, Keren Fichman, Giora Keinan, Journal of Autism and Developmental Disorders, 48:844–852 | | | |
| DOI | https://doi.org/10.1007/s10803-017-3389-5 | Year | 2018 | |
| Children | with autism: | 29 | without autism: | 34 |
| Emotions covered | Surprise, Anger, Happiness, Sadness | | | |
| Recognition techniques | MANOVA Analysis and Regression Analysis | | | |
| Value brought | <ul style="list-style-type: none">- The paper examines facial emotion recognition in intellectually disabled children with ASD and in younger typically developing controls, matched on mental age.-The paper employs three different modalities: facial, vocal and verbal.- The results of the paper confirmed overall facial emotion recognition deficits in children with ASD had the poorest performance in recognizing surprise and anger in comparison to happiness and sadness, and struggled with face–face matching, compared to voice-face and word-face combinations compared to the typically developing group.- The performance in the voice-face cross-modal recognition task was related to adaptive communication.- The findings in the paper highlight the specific face processing deficit, and the relative merit of cross-modal integration in children with ASD. | | | |

#T56

| | | | |
|-----------------------------------|---|---|--------------------|
| Title | The Cambridge Mindreading Face-Voice Battery for Children (CAM-C): complex emotion recognition in children with and without autism spectrum conditions | | |
| Authors and full reference | Ofer Golan, Yana Sinai-Gavrilov and Simon Baron-Cohen, Molecular Autism | | |
| DOI | 10.1186/s13229-015-0018-z | Year | 2015 |
| Children | with autism: | 30 high-functioning children with ASC, aged 8 to 11 | without autism: 25 |
| Emotions covered | Unfriendly, Disappointed, Embarrassed, Jealous, Loving, Nervous, Bothered, Amused, Undecided | | |
| Recognition techniques | MANOVA Analysis | | |
| Value brought | <ul style="list-style-type: none">- The paper found that ASC group scored significantly lower than controls on complex emotion recognition from faces and voices.- ASC had difficulty with six out of nine complex emotions.- Cambridge Mindreading Face-Voice Battery for Children or CAM-C scores were negatively correlated with parent-reported level of autism spectrum symptoms.- Children with ASC show deficits in recognition of complex emotions and mental states from both facial and vocal expressions. | | |

#T58

| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | Theory of mind and emotion-recognition functioning in autistic spectrum disorders and in psychiatric control and normal children | | | |
| Authors and full reference | Jan K. Buitelaar, Marleen Van Der Wees, Hanna Swaab-Barneveld and Rutger Jan Van Der Gaag, Development and Psychopathology, 11, 39–58 | | | |
| DOI | 10.1017/s0954579499001947 | | Year | 1999 |
| Children | with autism: | 20 | without autism: | 60 |
| Emotions covered | n.a | | | |
| Recognition techniques | MANOVA Analysis | | | |
| Value brought | <ul style="list-style-type: none">- The hypothesis in the paper tested that weak theory of mind (ToM) and/or emotion recognition (ER) abilities are specific to subjects with autism.- Differences in ToM and ER performance were examined between autistic, pervasive developmental disorder—not otherwise specified (PDD-NOS), psychiatric control, and normal children.- Tasks are used for the matching and the context recognition of emotional expressions.- It is noticed that autistic and PDD-NOS children could not be significantly differentiated from each other or from the psychiatric controls with a diagnosis of ADHD. | | | |

#T59

| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | Understanding Atypical Emotions Among Children with Autism | | | |
| Authors and full reference | Carolien Rieffe, Lex Stockmann, Journal of Autism and Developmental Disorders | | | |
| DOI | 10.1023/A:1005540417877 | | Year | 2000 |
| Children | with autism: | 23 | without autism: | 87 |
| Emotions covered | Happy, Angry, Afraid | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <ul style="list-style-type: none">-The paper presents that children from the autistic spectrum gave few mental state explanations in typical emotions, referring to fewer than even the 6-year-old control group.- In the case of atypical emotions, the autistic group performed as well as the 10-year-old controls.- The atypical emotions demonstrate that children from the autistic spectrum indeed have the capacity to mind read however they do not always use this capacity in the same way as normally developing children.- It is argued that the mind-reading capacity of high-functioning children from the autistic spectrum might be basically intact; unused in everyday circumstances but not necessarily defective. | | | |

#T61

| | | | |
|-----------------------------------|---|--|--------------------|
| Title | Understanding emotional transfer in children with autism spectrum disorders | | |
| Authors and full reference | Sander Begeer, Mark Meerum Terwogt, Carolien Rieffe, Hedy Stegge, Tjeert Olthof, Hans M. Koot, Autism, 14(6):629-40. | | |
| DOI | 10.1177/1362361310378322 | Year | 2010 |
| Children | with autism: | 11 children with autism, 20 children with PDD-NOS | without autism: 31 |
| Emotions covered | Positive, Negative, Neutral | | |
| Recognition techniques | n.a. | | |
| Value brought | <ul style="list-style-type: none">- Children were asked about their emotional responses to successive, conflicting emotional situations.- Children from the typically developing group reported a stronger influence of preceding negative versus positive emotions.- Children with autism reported equal effects of preceding positive and negative emotions, and children with PDD-NOS were relatively unaffected by the preceding emotions.- The findings in the result of the paper indicate a scripted understanding of emotions in children with autism in contrast to a more personalized understanding of typically developing children. | | |

#T62

| | | | |
|-----------------------------------|--|--------------------|------|
| Title | HumanUnderstanding emotions in others: mirror neuron dysfunction in children with autism spectrum disorders | | |
| Authors and full reference | Mirella Dapretto, Mari S Davies, Jennifer H Pfeifer, Ashley A Scott, Marian Sigman, Susan Y Bookheimer, and Marco Iacoboni, Nat Neurosci. 2006 January ; 9(1): 28–30 | | |
| DOI | doi:10.1038/nn1611 | Year | 2006 |
| Children | with autism: 10 | without autism: 10 | |
| Emotions covered | anger, fear, happiness, neutrality or sadness. | | |
| Recognition techniques | fMRI | | |
| Value brought | Paper contains an fMRI design to investigate neural activity during the imitation and observation of facial emotional expressions, in ten high-functioning children with ASD (9 males; 12.05 ± 2.50 years of age) and ten typically developing children (9 males; 12.38 ± 2.22 years of age) | | |

#T63

| | | | | |
|-----------------------------------|--|----|-----------------|------|
| Title | Understanding of Emotional Experience in Autism: Insights From the Personal Accounts of High-Functioning Children With Autism | | | |
| Authors and full reference | Molly Losh, and Lisa Capps, <i>Developmental Psychology</i> , 2006, Vol. 42, No. 5, 809–818 | | | |
| DOI | 10.1037/0012-1649.42.5.809 | | Year | 2006 |
| Children | with autism: | 28 | without autism: | 22 |
| Emotions covered | simple emotions (happy, sad, angry, afraid, and disgusted), complex emotions (curious, disappointed, and surprised), and complex, self-conscious emotions (proud, embarrassed, guilty, and ashamed) as well as two nonemotions (tired and sick) | | | |
| Recognition techniques | n.a. | | | |
| Value brought | Paper contains: <ul style="list-style-type: none">-emotional understanding in autism through a discourse analytic framework to provide a window into children's strategies for interpreting emotional versus nonemotional encounters-consider the implications for the mechanisms underlying emotional understanding in typical development.-children with autism possess less coherent representations of emotional experiences and use alternative strategies for interpreting emotionally evocative encounters. | | | |

#T64

| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | Understanding of Simple and Complex Emotions in Non-retarded Children with Autism | | | |
| Authors and full reference | Lisa Capps, Nurit Yirmiyat and Marian Sigmant, J. Child Psychol. Psychiat. Vol. 33, No. 7, pp. 1169-1182, 1992 | | | |
| DOI | n.a. | | Year | 1992 |
| Children | with autism: | 18 | without autism: | 14 |
| Emotions covered | Happy, pride, embarrassment and sadness | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>Paper contains a study where non-retarded autistic children are compared to normal controls on measures of emotion expression and recognition.</p> <ul style="list-style-type: none">-autistic subjects recounted appropriate examples of simple and complex emotions, and accurately labeled relatively ambiguous affect expression in pictures.-Autistic children manifested some difficulty talking about socially derived emotions, pride and embarrassment | | | |

#T65

| | | | | |
|-----------------------------------|--|-----|-----------------|------|
| Title | Using sensors and facial expression recognition to personalize emotion learning for autistic children | | | |
| Authors and full reference | Valerie GAY 1, Peter LEIJDEKKERS and Frederick WONG, Studies in health technology and informatics · June 2013 | | | |
| DOI | 10.3233/978-1-61499-268-4-71 | | Year | 2013 |
| Children | with autism: | n.a | without autism: | n.a. |
| Emotions covered | n.a. | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>This paper describes CaptureMyEmotion, an app for smartphones and tablets which uses wireless sensors to capture physiological data together with facial expression recognition to provide a personalised interface to help autistic children identify and understand their emotions</p> <ul style="list-style-type: none">-CaptureMyEmotion enables autistic children to capture photos, videos or sounds and identify the emotion they felt while taking the picture.-a self-portrait of the child is taken and the app measures the arousal and stress levels using wireless sensors. | | | |

#T66

| | | | | |
|-----------------------------------|--|----|-----------------|------|
| Title | Visual face scanning and emotion perception analysis between Autistic and Typically Developing children | | | |
| Authors and full reference | Syeda, UH; Zafar, Z; Islam, ZZ; Tazwar, SM; Rasna, MJ; Kise, K; Ahad, MAR, UBICOMP/ISWC '17 ADJUNCT, SEPTEMBER 11-15, 2017, MAUI, HAWAII, USA | | | |
| DOI | 10.1145/3123024.3125618 | | Year | 2017 |
| Children | with autism: | 21 | without autism: | 21 |
| Emotions covered | Joy, Anger, Fear, Disgust, Sadness, Surprise | | | |
| Recognition techniques | Tobii Eyex eye tracker | | | |
| Value brought | <p>Paper probes into the visual face scanning patterns and emotion recognition between 21 autistic and 21 control or TD (typically developing) children when displayed pictures of 6 basic emotions (happy, sad, angry, disgusted, fearful and surprised).</p> <p>-The results revealed that children with autism look less at the core features of the face (eyes, nose and mouth) while scanning faces and have more difficulty in perceiving the correct emotion compared to the typically developing children.</p> | | | |

#T68

| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | What Affective Computing Reveals about Autistic Children's Facial Expressions of Joy or Fear | | | |
| Authors and full reference | Schuller B., Computer, June 2018 | | | |
| DOI | 10.1109/MC.2018.2701647 | | Year | 2018 |
| Children | with autism: | 20 | without autism: | 19 |
| Emotions covered | Joy, fear | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>Paper summarizes the findings on autistic individuals' facial expression of emotions. Three macro-areas of equal height from forehead to chin—upper, middle, and lower face are studied. Significant differences between high-functioning autism (HFA) and TD participants for disgust and sadness can be found in all three facial areas, for joy in the upper and lower face, and for surprise in the lower face—but none for anger or fear.</p> | | | |

#S1

| | | | | |
|-----------------------------------|---|---|-----------------|------|
| Title | Processing of Facial Expressions in Autism: a Systematic Review of EEG/ERP Evidence | | | |
| Authors and full reference | Raquel Monteiro, Marco Simões, João Andrade & Miguel Castelo Branco, Rev J Autism Dev Disord (2017) 4:255–276 | | | |
| DOI | 10.1007/s40489-017-0112-6 | | Year | 2017 |
| Children | with autism: | 0 | without autism: | 0 |
| Emotions covered | n.a. | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>The paper presents a systematic review to examine electrophysiological differences in emotional facial expression processing in autism spectrum disorder (ASD).</p> <p>It reveals the group differences and emotion by group interactions in most of the studies during facial emotion-processing tasks.</p> | | | |

#S2

| | | | | |
|-----------------------------------|--|-------------|-----------------|------|
| Title | Mechanisms of facial emotion recognition in autism spectrum disorders: Insights from eye tracking and electroencephalography | | | |
| Authors and full reference | Melissa H. Black, Nigel T.M. Chen, Kartik K. Iyer, Ottmar V. Lipp, Sven Bölte, Marita Falkmer, Tele Tan, Sonya Girdler, Neuroscience and Biobehavioral Reviews 80 (2017) 488–515 | | | |
| DOI | 10.1016/j.neubiorev.2017.06.016 | Year | 2017 | |
| Children | with autism: | n.a. | without autism: | n.a. |
| Emotions covered | n.a. | | | |
| Recognition techniques | n.a. | | | |
| Value brought | Paper presents a systematic review of fifty-four studies investigating the impact of ET or EEG in the facial emotion recognition (FER) in individuals with ASD. -Findings indicate divergence of visual processing pathways in individuals with ASD. -Altered function of the social brain in ASD impacts the processing of facial emotion across the developmental trajectory, resulting in observable differences in ET and EEG outcomes | | | |

#S3

| | | | |
|-----------------------------------|---|-------------|-------------------|
| Title | Monitoring of autonomic response to sociocognitive tasks during treatment in children with Autism Spectrum Disorders by wearable technologies: A feasibility study | | |
| Authors and full reference | Simone Di Palma, Alessandro Tonacci, Antonio Narzisi, Claudio Domenici, Giovanni Pioggia, Filippo Muratori, Lucia Billeci, The MICHELANGELO study group, Computers in Biology and Medicine 85 (2017) 143–152 | | |
| DOI | 10.1016/j.combiomed.2016.04.001 | Year | 2017 |
| Children | with autism: | 5 | without autism: - |
| Emotions covered | n.a. | | |
| Recognition techniques | n.a. | | |
| Value brought | <p>This paper summarizes a study which acquires physiological signals during therapeutic sessions supported by interactive “serious games” and to correlate the autonomic nervous system response to the engagement of the child during socio cognitive tasks for an evaluation of the treatment effect and for the personalization of the therapy.</p> <p>-A wearable chest belt for electrocardiographic (ECG) signal recording was used and specific algorithms for the extraction of clinically relevant features (HeartRate , Root Mean Square of the Successive Differences and Respiratory Sinus Arrhythmia) were developed</p> | | |

#S8

| | | | | |
|-----------------------------------|--|------|-----------------|------|
| Title | Mirroring and recognizing emotions through facial expressions for a Robokind platform | | | |
| Authors and full reference | Vinicius Corrêa Alves da Silva, Dissertation thesis, Universidade do Minho Escola de Engenharia | | | |
| DOI | 10.1109/ENBENG.2017.7889480 | | Year | 2016 |
| Children | with autism: | n.a. | without autism: | n.a. |
| Emotions covered | n.a. | | | |
| Recognition techniques | Zeno R50 Robokind robotic platform, Support Vector Machine (SVM), Intel RealSense 3D camera | | | |
| Value brought | <p>This dissertation presents a robotic platform which is used as a mediator in the social interaction activities with children with special needs. The main purpose of this dissertation is to develop a system capable of automatic detecting emotions through facial expressions and interfacing it with a robotic platform in order to allow social interaction with children with special needs. System has two parts:</p> <ul style="list-style-type: none">-Mirroring Emotion System (MES) synthesis human emotions through facial expressions, on-line. MES extracts the user facial Action Units (AUs), sends the data to the robot allowing on-line imitation.-Emotion Recognition System (ERS) is able to recognize human emotions through facial features in real time. ERS uses Support Vector Machine (SVM) technique for the automatic classification of the emotion expressed by the User in real time. | | | |

#S9

| | | | | |
|-----------------------------------|--|-------------|-----------------|---|
| Title | Understanding the nature of face processing impairment in autism: insights from behavioral and electrophysiological studies | | | |
| Authors and full reference | Dawson G, Webb SJ, McPartland J, <i>Developmental Neuropsychology</i> 27(3), 403–424 | | | |
| DOI | 10.1207/s15326942dn2703_6 | Year | 2005 | |
| Children | with autism: | 0 | without autism: | 0 |
| Emotions covered | n.a. | | | |
| Recognition techniques | n.a. | | | |
| Value brought | <p>This is a review article that examine behavioural and electrophysiological studies of face processing. It discusses studies that look at the impairments in face discrimination and face recognition in individuals with autism and their atypical strategies for processing faces.</p> <p>It also discusses electrophysiological studies of face processing in autism that use EEC and ERP signals that are sensitive to early stage face processing and their indication regarding early perception of facial expressions of emotion.</p> | | | |

#S10

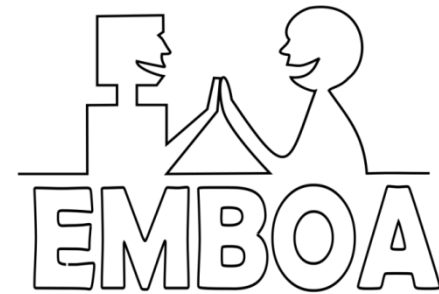
| | | | | |
|-----------------------------------|---|----|-----------------|------|
| Title | Sensor-Based Technology for Social Information Processing in Autism: A Review | | | |
| Authors and full reference | Andrea E KowallikStefan R. Schweinberger, Sensors 2019, 19, 4787 | | | |
| DOI | 10.3390/s19214787 | | Year | 2019 |
| Children | with autism: | 53 | without autism: | 0 |
| Emotions covered | - | | | |
| Recognition techniques | - | | | |
| Value brought | This article present a systematic literature review result in 36 Original Articles on Sensor-Based Assessment of Facial Movement, Eye-gaze, Body movement, Voice , in terms of Identification of autism spectrum disorders (ASD)-related features e.g. stereotyped gesture recognition, facial expression recognition, emotion expression and emotion recognition, joint attention, social behaviour. | | | |

#S12

| | | | | |
|-----------------------------------|---|-------------|-----------------|---|
| Title | Personalized machine learning for robot perception of affect and engagement in autism therapy | | | |
| Authors and full reference | O. Rudovic, J. Lee, M. Dai, B. Schuller, R. W. Picard, Sci. Robot. 3, eaao6760 (2018). | | | |
| DOI | 10.1126/scirobotics.aa06760 | Year | 2018 | |
| Children | with autism: | 35 | without autism: | 0 |
| Emotions covered | Valence, arousal, engagement | | | |
| Recognition techniques | Audio, video (facial expressions, head movements, body movements, pose, and gestures), autonomic physiology (heart rate , electrodermal activity, and body temperature); Personalized machine learning models | | | |
| Value brought | <p>Personalized classifiers workin on multimodal data (audio, video, and autonomic physiology) of children with autism from two cultures (Asia and Europe) achieved an average agreement (intraclass correlation) of ~60% with human experts in the estimation of affect and engagement.</p> <p>The study also introduces interesting recommendations regarding unobtrusive sensing, perception of changes by a robot and modulation of robot's behaviour.</p> <p>It points out the importance of child engagement in the interaction process and provides evidence of correlation between engagement and factors of valence and arousal in children with autism.</p> | | | |



Co-funded by the
Erasmus+ Programme
of the European Union



<http://emboa.eu/>

This collection of papers is prepared under
UE ERASMUS+ project 2019-1-PL01- KA203-065096

EMBOA - Affective loop in Socially Assistive Robotics
as an intervention tool for children with autism

The European Commission's support for the production of this publication does not constitute an endorsement of the contents, which reflect the views only of the authors, and the Commission cannot be held responsible for any use which may be made of the information contained therein.

